

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

|                                   |   |  |
|-----------------------------------|---|--|
| In re application of:             | ) | Examiner: Vogel, Nancy S.              |
|                                   | ) |  |
| Kevin P. BAKER, et al.            | ) | Art Unit: 1636                         |
|                                   | ) |  |
| Application Serial No. 10/006,856 | ) | Confirmation No: 8365                  |
|                                   | ) |  |
| Filed: December 6, 2001           | ) | Attorney's Docket No. 39780-2830 P1C14 |
|                                   | ) |  |
| For: <b>SECRETED AND</b>          | ) | <b>Customer No. 35489</b>              |
| <b>TRANSMEMBRANE</b>              | ) |  |
| <b>POLYPEPTIDES AND NUCLEIC</b>   | ) |  |
| <b>ACIDS ENCODING THE SAME</b>    | ) |  |

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**FILED BY EFS ON**  
**October 4, 2007**

**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97**

**MAIL STOP RCE**

Commissioner for Patents  
PO Box 1450  
Alexandria, Virginia 22313-1450

Sir:

Listed below or on an attached Form PTO-1449 is information known to applicant(s). A copy is provided of each foreign patent, each publication other than U.S. patents and U.S. patent application publications, and each cited pending unpublished U.S. application along with a concise explanation of information in a foreign language pursuant to 37 C.F.R. §§1.97-1.98.

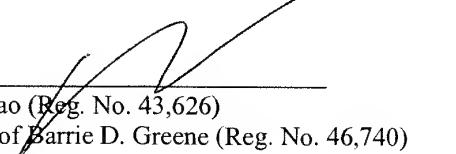
Applicants respectfully request that the listed information be considered by the Examiner and be made of record in the above-identified application. Form Supplemental PTO-1449 is enclosed and the Examiner is requested to initial and return it in accordance with MPEP §609.

This statement is not intended to represent that a search has been made or that the information cited in the statement is, or is considered to be, material to patentability as defined in §1.56.

- ☒ This statement qualifies under 37 C.F.R. §1.97, subsection (b) because (check all that apply):
- ☐ (1) It is being filed within 3 months of the application filing date and is other than a continued prosecution application under § 1.53(d)  
-- OR --
- ☐ (2) It is being filed within 3 months of entry of a national stage  
-- OR --
- ☐ (3) It is being filed before the mail date of the first Office Action on the merits  
-- OR --
- ☒ (4) It is being filed before the mailing of a first Office Action after the filing of a request for continued examination under § 1.114.
- ☐ 37 C.F.R. §1.97(c). If this statement is being filed after the latest of: (1) three months beyond the filing date of a national application; (2) three months beyond the date of entry of the national stage as set forth in §1.491 in an international application; or (3) the mailing date of a first Office action on the merits, but before the mailing date of the earlier of a final office action under §1.113 or a notice of allowance under §1.311, then:
- ☐ a certification as specified in §1.97(e) is provided below; **or**
- ☐ a fee of **\$180.00** as set forth in §1.17(p) is authorized below, enclosed, or included with the payment of other papers filed together with this statement.
- ☐ 37 C.F.R. §1.97(d). If this statement is being filed after the mailing date of the earlier of a final office action under §1.113 or a notice of allowance under §1.311, but before payment of the issue fee, then:
- A. a certification as specified in §1.97(e) is completed below; and
- B. a petition under 37 C.F.R. §1.97(d) requesting consideration of this statement is submitted herewith; **and**
- C. a fee of \$130.00 as set forth in §1.17(i)(1) is authorized below, enclosed, or included with the payment of other papers filed together with this statement.
- ☒ **Fee Authorization.** The Commissioner is hereby authorized to charge any additional fees or credit any overpayment associated with this communication to Deposit Account No. **08-1641 (Attorney's Docket No. 39780-2830 P1C14).**

Respectfully submitted,

Dated: October 4, 2007

  
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Panpan Gao (Reg. No. 43,626)  
on behalf of Barrie D. Greene (Reg. No. 46,740)

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